

**MINUTES OF THE KANSAS BOARD OF ACCOUNTANCY  
OCTOBER 23, 2020  
9:00 AM  
VIRTUAL MEETING**

**1. ADMINISTRATIVE MATTERS:**

**A. CALL TO ORDER:**

Sean P. Weaver, CPA, Chair, called the meeting to order. Other Board members in attendance were Julie A. Spiegel, CPA, Vice-Chair; Jim Gillespie, CPA; John R. Helms, CPA; Allison Koehn, Public Member; and Kathryn J. Mitchell, CPA. Also in attendance was Susan L. Somers, Executive Director; Randall J. Forbes, Disciplinary Counsel to the Board; Darin M. Conklin General Counsel to the Board; Natasha Schamberg and Rita Barnard, on behalf of the Kansas Society of CPAs; and Joyce Schartz, of the Public Accountants Association.

**B. CONSENT CALENDAR:**

1-4. The Board reviewed the minutes of the August 21, 2020; September 9, 2020; and September 11, 2020 meetings; reciprocity certificates/permits to practice; firm registrations; and the September 30, 2020 financials. Mr. Gillespie moved, and Mr. Helms seconded to approve the Consent Calendar. Upon a roll call vote, the motion unanimously carried.

**2. CPA EXAM:**

The Board reviewed the CPA exam scores for July 21, 2020 to October 9, 2020. Mr. Gillespie moved, and Ms. Spiegel seconded, to ratify the scores. Upon a roll call vote, the motion unanimously carried.

**3. CPE/PERMIT TO PRACTICE/PEER REVIEW/FIRM REGISTRATIONS:**

A. Ms. Somers presented to the Board the list of firms with peer reviews either due or in process. No action was required to be taken.

B. The Board reviewed a list of CPAs who indicated they were not renewing, and those that the Board staff were unable to locate. No action was required to be taken.

C. The Board reviewed a list of CPAs who had not renewed their permits by October 15, 2020. No action was required to be taken.

**4. HEARINGS AND OTHER DISCIPLINARY MATTERS:**

**A. THE WINANS GROUP CERTIFIED PUBLIC ACCOUNTANTS, P.C.**

**STIPULATION AND CONSENT ORDER:** Sean Weaver, the investigative Board member, recused himself. Christine Winans and Kimberly Winans appeared before the Board. This matter was before the Board for approval of a Stipulation and Consent Order that arose from an investigation and subsequent determination that Respondent had failed to comply with applicable professional standards. The terms of the Stipulation and Consent Order required Respondent, Christine Winans and Kimberly Winans to appear before the Board; Respondent shall undergo preissuance reviews of the next review engagement; Respondent firm and Christine Winans and Kimberly Winans shall not perform or offer to perform audit services without prior written permission from the Board; Respondent to pay costs, pursuant to K.S.A. 1-206 to include attorney's fees and court reporter fees; censure. After discussion, Mr. Gillespie moved, and Ms. Mitchell seconded to approve the Stipulation and Consent Order. Upon a roll call vote, the motion unanimously carried, with Mr. Weaver not participating.

**B. NEIL BLEISH HEARING ON SUMMARY ORDER OF DENIAL:** This matter was postponed to a later date.

**5. OTHER:**

**A. DISCUSSION OF PEER REVIEW TRANSITION TO OKLAHOMA SOCIETY:**

Blaine Peterson, President and CEO, and Patty Hurley, executive Vice President and Chief Operating Officer of the Oklahoma Society of CPAs joined the meeting to discuss the transition of the administration of Peer Reviews for Kansas firms from the Kansas Society of CPAs to the Oklahoma Society of CPAs. The transition will occur November 1, 2020. The Oklahoma Society representatives assured this Board that the policies and procedures set by this Board for Kansas firms would be honored by them.

**B. INQUIRY AS TO USE OF CPA DESIGNATION IN BANKING INDUSTRY:**

The Board reviewed a request from a college professor as to whether or not a person who held a CPA certificate, but not a permit to practice, could use the CPA designation in connection with their employment as a loan officer of a Bank. After discussion, the Board determined that there was insufficient information to make a determination and instructed Ms. Somers to get back with the Professor and request additional information.

**6. ADJOURN:**

There being no further business to come before the Board, Ms. Mitchell moved, and Mr. Gillespie seconded to adjourn the meeting. Upon a roll call vote, the motion carried.

/s/ Susan L. Somers

Susan L. Somers, Executive Director